

09/22/03

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PTO/SB/05 (11-00)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		TUMB-102 CON 2 CIP
<i>First Inventor</i>		Bandini et al.
Title	Method and System for E-Mail Filtering	
Express Mail Label No		EL 790614715 US

PRO-S. 22278 U.S.

09/22/03

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: MS Patent Application
Commissioner for Patents
Alexandria, VA 22313-1450

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit and original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S. C. 113) [Total Sheets 4]</p> <p>5. Oath or Declaration [Total Pages 3]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
ACCOMPANYING APPLICATION PARTS	
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: <u>Unexpected Declaration for additional inventors</u></p>	<p><input checked="" type="checkbox"/> Power of Attorney</p> <p><input type="checkbox"/> Copies of IDS Citations</p>

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

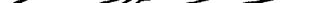
Continuation Divisional Continuation-in-part of prior application No. 09/967,117

Prior application information: Examiner Not yet assigned Group Art Unit: 2132

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/>	Customer Number or Bar Code Label	26137 <small>(Insert Customer No. or Attach bar code label here)</small>	or	<input type="checkbox"/>	Correspondence address below
Name					
Address					
City			State		Zip Code
Country			Telephone		Fax

Name (Print/Type)	GUY PERRY	Registration No. (Attorney/Agent)	46,194
Signature		Date	September 22, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231-...

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427932-New York Server 3A - MSW

Communications Corporation appointing Skadden, Arps, Slate, Meagher & Flom LLP to prosecute the invention in parent Application Serial No. 09/180,377.

Return Receipt Postcard.

The fees for submitting this patent application are estimated as follows:

Basic Fee	SMALL ENTITY				OTHER		
	Rate	Fee	Rate	Fee			
Basic Fee		\$ 375.00				\$ 750.00	
Total Claims	53	(- 20)	33	x 9.00	\$ --	x 18.00	\$ 594.00
Indep. Claims	02	(- 3)	--	x 42.00	\$ --	x 84.00	\$
Multiple Dependent Claim(s):		--		\$140.00	\$ --	\$280.00	\$
			TOTAL:	\$	TOTAL	\$1,344.00	

The Commissioner is hereby authorized to charge the filing fee estimated to be **\$1,344.00** and any fees which may be required in connection with this submission, to Skadden, Arps, Slate, Meagher & Flom LLP **Deposit Account No. 19-2385**. (Please reference Attorney Docket No. **TUMB-102 CON 2 CIP**).

Priority of Application No. **60/053,668**, filed **July 24, 1997** is claimed under 35 U.S.C. § 119.

Date: September 22, 2003

Respectfully submitted,

By: 
GUY PERRY Reg. No. 46,194

Skadden, Arps, Slate, Meagher
& Flom LLP
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New York, New York 10036
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Enclosures

17114 U.S.P.T.O.
09/22/03

Attorney Docket No. TUMB-102 CON 2 CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : **Bandini et al.**

Serial No. : Not Yet Assigned

Filed : **Concurrently Herewith**

Title : **Method and System for
E-Mail Filtering**

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

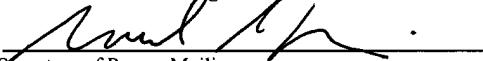
"Express Mail" Mailing Label Number

EL 790 614 715 US

Date of Deposit: September 22, 2003

I hereby certify that this paper and all enclosures referred to therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above with sufficient postage in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450

Name of Person Mailing: Michael McGuire



Signature of Person Mailing

PATENT APPLICATION TRANSMITTAL LETTER AND FEE AUTHORIZATION

Commissioner for Patents
MS PATENT APPLICATION
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

Specification, including claims, abstract and four (4) figures (4 sheets);

Utility Patent Application Transmittal (PTO Form SB/05); and

Declaration and Power of Attorney by inventors Bandini and Odnert (unsigned);

Declaration and Power of Attorney executed by inventors Dickinson and Krishnamurthy in prior application Serial No. 09/180,377, and also submitted in prior application Serial No. 09/967,117 of which the present application is a Continuation-in-Part. U.S. Application Serial Number 09/180,377 was filed under 35 U.S.C. 371 as a designated country application from PCT International Application Serial Number PCT US98/15552, filed on July 23, 1998 and therefore identifies the invention by its international application serial number, PCT US98/15552. In connection with the latter Declaration and Power of Attorney, the following are also submitted: Copies of two Assignments: (a) assigning the invention from the inventors to Worldtalk Corporation; and (b) assigning the invention from Worldtalk Corporation to Tumbleweed Communications Corporation; and Power of Attorney by Tumbleweed